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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/780,964
	Filing Date	02/09/01
	First Named Inventor	D'Amico et al.
	Group Art Unit	2161
	Examiner Name	Not Assigned
Total Number of Pages in This Submission	Attorney Docket Number	14104/198998

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Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Michael K. Dixon, Reg. No. 46,665 KILPATRICK STOCKTON LLP
Signature	<i>Michael K. Dixon</i>
Date	05/18/01

CERTIFICATE OF MAILING			
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Typed or printed name	Janie Wilkins		
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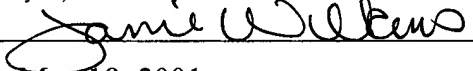
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: D'Amico et al.  
SERIAL NO.: 09/780964 ART UNIT: 2161  
FILED: February 9, 2001 EXAMINER: Unknown  
FOR: PARTICIPANT CONTROLLED MUTUAL FUND

ATTORNEY DOCKET NO.: 14104/198998

Assistant Commissioner for Patents  
Washington, D.C. 20231

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DATE: May 18, 2001

INFORMATION DISCLOSURE STATEMENT

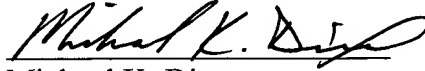
Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§1.56, 1.97 and 1.98), enclosed are copies of the materials listed on the accompanying Form PTO 1449 for consideration by the Examiner. None of these references is conceded to be prior art within the meaning of U.S. patent laws.

This Information Disclosure Statement is being filed before issuance of a first office action on the merits of the application (37 C.F.R. §1.97(b)(3)); therefore, no fees are believed to be due. However, the Commissioner is authorized to charge any additional fees

that may be due in connection with the filing of this paper or credit any overpayment to deposit account 11-0855.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael K. Dixon", written over a horizontal line.

Michael K. Dixon

Registration No. 46,665

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Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO.: 14104/198998	SERIAL NO.: 09/780,964
MATERIAL INFORMATION STATEMENT (Use several sheets if necessary)		APPLICANT: D'Amico et al.	
		FILING DATE: February 9, 2001	GROUP: 2161



### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,758,097	05/26/98	Debe et al.			
	5,761,442	06/02/98	Barr et al.			
	5,806,048	09/08/98	Kiron et al.			
	5,852,811	12/22/98	Atkins			
	5,930,774	07/27/99	Chennault			
	5,978,778	11/02/99	O'Shaughnessy			
	6,012,044	01/04/00	Maggioncalda et al.			
	6,012,046	01/04/00	Lupien et al.			
	6,018,722	01/25/00	Ray et al.			

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### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO

### OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	Pages printed from <a href="http://www3.investorsforecast.com">http://www3.investorsforecast.com</a> Vision page, February 3, 2000.
	A Prospectus for MutualMinds.com filed with the Securities and Exchange Commission (SEC) on April 13, 2000.
	Pages printed from <a href="http://www.mutualminds.com">http://www.mutualminds.com</a> Help page, July 17, 2000.

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	